

**In the United States Patent and Trademark Office**

**Serial No.:** 10/676,297      **Docket No.:** 17209-503  
**Filed:** September 30, 2003      **Conf.No.:** 8191      **Art Unit:** 3691  
**Applicant(s):** Emerson P. Jones, Et Al.      **Examiner:** Ali, Hatem M.  
**For:** Method and system for analyzing a capital structure for a company

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Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE**

Sir:

Further to the Non-Final Office Action dated April 27, 2010 (hereinafter "Office Action"), and in view of the following amendments and remarks, Applicant requests entry thereof and reconsideration of the above-referenced application.

**A Listing of the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 6 of this paper.

**35 USC § 103** remarks begin on page 6 of this paper.